

**OFFICIAL****OYEN WIGGS GREEN & MUTALA**INTELLECTUAL PROPERTY LAWYERS  
REGISTERED PATENT AND TRADEMARK AGENTS

PHONE: (604) 669-3432

FAX: (604) 681-4081

owgm@patentable.com  
www.patentable.com

Gerald O.S. Oyon\* Blake R. Wiggs\*  
Bruce M. Green\* Catherine D. Mutala\*  
David J. McGruder\* Thomas W. Bailey\*  
Gavin N. Manning\* George F. Kondor  
Hilton W. O. Sue Craig A. Ash  
Richard A. Johnson Todd A. Ratray  
\* Law Corporation

480 - THE STATION  
801 WEST CORDOVA STREET

VANCOUVER, B.C., CANADA

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P398 0001

JAN 15 2004

TRANSMITTAL SHEETDATE: 15 January 2004TO: UNITED STATES PATENT & TRADEMARK OFFICEATTN: Examiner: Andrea M. Valenti Art Unit: 3643RE: Serial No.: 09/738,766NO.: 703-872-9306

NO. OF PAGES INCLUDING THIS SHEET: 15

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PTO/SB/30 (09-03)

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# Request for Continued Examination (RCE) Transmittal

Address to:  
Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Application Number	09/738,768
Filing Date	12/18/2000
First Named Inventor	Norman R. Pelton
Art Unit	3643
Examiner Name	Andrea M. Valenti
Attorney Docket Number	P398 0001

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

- Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i. ☐ Consider the arguments in the Appeal Brief or Rely Brief previously filed on \_\_\_\_\_

ii. ☐ Other \_\_\_\_\_

b. ☒ Enclosed

i. ☒ Amendment/Reply

iii. ☐ Information Disclosure Statement (IDS)

ii. ☐ Affidavit(s)/ Declaration(s)

iv. ☒ Other petition for extension, fee transmittal
- Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(l) required)

b. ☐ Other \_\_\_\_\_
- Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. The Director is hereby authorized to charge the following fees, or credit any overpayments, to

a. ☒ Deposit Account No. 02-1037

i. ☒ RCE fee required under 37 CFR 1.17(e)

ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)


iii. ☐ Other \_\_\_\_\_

b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Bruce M. Green	Registration No. (Attorney/Agent)	30,524
Signature		Date	January 15, 2004

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	Bruce M. Green	Date	January 15, 2004
Signature			

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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JAN 15 2004

OFFICIAL

Paper No.: \_\_\_\_\_

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

Inventor(s): Norman R. Pelton

Title: **TREE SEEDLING PLUG AND METHOD OF MAKING SAME**

Serial No.: 09/738,766

Filed: 12/18/2000

Examiner: Andrea M. Valenti

Art Unit: 3643

Date: 15 January, 2004

To: The Commissioner of Patents & Trademarks  
Washington, D.C.  
20231

Dear Sir:

**AMENDMENT**

In response to the Office Action mailed 07/15/2003, as extended by the accompanying petition for a three-month extension of time, kindly amend the above-identified application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 9 of this paper.